

1-8-02

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**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

[] Duplicate
(check, if applicable)

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. 7535-651US (RW 616 US)
First Named Inventor: Stephan PRETEL
Express Mail Label No. EL916938316US
Total Pages of Transmittal Form: 2

J101 10/039506 PTO
01/04/02

Transmitted herewith for filing is the non-provisional utility patent application entitled:

SURGICAL INSTRUMENT

which is:

an Original; or
a Continuation, Divisional, or Continuation-in-part (CIP)
of prior Application No. filed

Anticipated Group/Art Unit: or Class, Subclass.

This non-provisional patent application is based on Provisional Patent Application No.,
filed.

Enclosed are:

- Specification (including Abstract) and claims: 10 pages.
- Application Data Sheet.
- Newly executed Declaration (original).
- Copy of Declaration from prior application.
- Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- 2 sheets of drawings (formal).
- Transmittal Letter Accompanying Submission of Compact Disc in Accordance
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program
Appendix)
- Nucleotide and/or Amino Acid Sequence Submission, including:
 Computer readable copy Paper Copy Verified Statement.
- Under PTO-1595 Cover Sheet, an assignment of the invention
- Name of Assignee: **Richard Wolf GmbH**
- Certified copy of German Application No. 101 02 089.9 filed January 18, 2001 is
filed: herewith or in prior application
- Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under
37 C.F.R. §1.27 as an Independent Inventor, or a Small Business Concern,
or a Non-Profit Organization.
- Preliminary Amendment.
- Information Disclosure Statement, PTO/SB/08A, and cited references.
- Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- Other:

The filing fee is calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$370		BASIC FEE: \$740	
Total	5 - 20 =	- 0 -	X9	\$	OR	X18
Independent	1 - 3 =	- 0 -	X42	\$	OR	X84
[] Multiple Dependent Claims Present			\$140	\$	OR	\$280
			TOTAL	\$	OR	TOTAL
						\$740.00

[] The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.

[X] A check in the amount of **\$740.00** to cover the filing fee is enclosed.

[X] The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 207535.0651)** as noted below. A duplicate copy of this sheet is enclosed.

[X] Any overpayments or deficiencies in the above-calculated fee.

[] Filing fee in the amount of \$ _____ as calculated above.

[X] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

[X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

January 4, 2002
(Date)

By: William W. Schwarze

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WWS:srn
Enclosures